

1. KNOW YOUR CUSTOMER (KYC) PROCEDURE AND DOCUMENTS REQUIREMENTS

With effect from January 1, 2012, all categories of investors irrespective of amount of investments in Mutual Funds are required to comply with KYC for carrying out any transactions in Mutual Funds. Thus any investor investing into mutual funds would be required to be KYC compliant one time by any KYC Rating Agency (CVL, NSE, NSDL, CAMS or KARVY) or Central KYC (CKYC) and complete the In Person Verification to become KYC Compliant for investing in Mutual Funds.

KYC is one time exercise while dealing in securities markets - once KYC is done through a SEBI Registered Intermediary (broker, DP, Mutual Fund etc), Investor need not undergo the same process again when you approach another SEBI Registered Intermediary including the Mutual Funds.

Investors need to submit duly filled the KYC Form <https://www.quantumamc.com/kyc-forms/24> with the list of documents prescribed in the KYC Form with KYC Registration Agency and complete the In Person Verification (IPV) to be KYC complaint. Please refer FAQ <https://www.quantumamc.com/known-your-customer-kyc-faq/37> for further details on KYC. Documents to be submitted along with KYC Form:

- (i) Proof of Identity: Self Attested Passport PAN / Voter ID / Driving License / Aadhaar / NREGA card
- (ii) Proof of Address: Self Attested Voter ID / Driving License / Aadhaar / NREGA card

For change address, the new KYC form to be submitted along with Proof of Address to KRA / CKYC.

For change in Mobile Number and Bank Account contact the concerned Mutual Fund / Investor Service Center of the Mutual Fund.

2. DEAL ONLY WITH SEBI REGISTERED MUTUAL FUND

Investor should deal only with SEBI Registered Mutual Funds of which can be verified on the SEBI website under “**Intermediaries / Market Infrastructure Institutions**”

3. REDRESSAL OF INVESTOR COMPLAINTS

Investors would find the name of contact person in the Scheme Information Document and Key Information Memorandum (offer document) of the mutual fund scheme who they may approach in case of any query, complaints or grievances. Trustees of a mutual fund monitor the activities of the mutual fund. The names of the directors of AMC and trustees are also given in the offer documents. Investors should approach the concerned Mutual Fund / Investor Service Centre of the Mutual Fund with their complaints. If the complaints remain unresolved, the investors may approach SEBI for facilitating redressal of their complaints. On receipt of complaints, SEBI takes up the matter with the concerned mutual fund and follows up it regularly. Investors may send their complaints to:

Securities and Exchange Board of India

Office of Investor Assistance and Education (OIAE)

Plot No. C4-A, 'G' Block, 1st Floor,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051.
Phone: 26449199-88-77

Investors can also lodge their complaint on scores.gov.in. SEBI takes up complaints against Mutual Funds registered with it and related issues. SCORES <https://scores.gov.in> facilitates an investor to lodge his/her complaint online with SEBI and subsequently view its status.